

**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF LOUISIANA**

**In Re: Oil Spill by the Oil Rig “Deepwater
Horizon” in the Gulf of Mexico, on
April 20, 2010**

* MDL NO. 2179
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* SECTION: J
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* HONORABLE CARL J. BARBIER
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* MAGISTRATE JUDGE SHUSHAN
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**Plaisance, et al., individually
and on behalf of the Medical
Benefits Settlement Class,**

Plaintiffs,

**v.
BP Exploration & Production Inc., et al.,**

Defendants.

* NO. 12-CV-968
*
* SECTION: J
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* HONORABLE CARL J. BARBIER
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* MAGISTRATE JUDGE SHUSHAN
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**STATUS REPORT FROM THE DEEPWATER HORIZON
MEDICAL BENEFITS SETTLEMENT CLAIMS ADMINISTRATOR**

The Garretson Resolution Group, the Claims Administrator of the *Deepwater Horizon* Medical Benefits Class Action Settlement (the “Settlement”), submits the following quarterly report to apprise the Court of the status of its work in processing claims and implementing the terms of the Medical Settlement Agreement (the “MSA”) between July 2, 2016, and September 30, 2016, (the “Reporting Period”).¹ We have published 11 reports since Preliminary Approval

¹ Capitalized terms not otherwise defined herein shall have the meanings ascribed to their fully capitalized renderings in the MSA.

in May 2012, and this marks the seventh quarterly report filed since the claims filing deadline of February 12, 2015. This report will address the continued processing of claims sets received from 2012 to 2014 (collectively, the “2014 Claims”)² and the claims received in 2015 and thereafter (the “2015 Claims”).

This status report provides:

- an executive summary of claims processed during the Reporting Period;
- a detailed overview of the progression of the 2014 and 2015 Claims;
- a summary of claims for Specified Physical Conditions (“SPC”) and significant developments concerning these claims;
- an update on the operations and activities of the Class Member Services Center;
- an account of participation in the Periodic Medical Consultation Program (“PMCP”);
- a summary of claims for Later-Manifested Physical Conditions; and
- a summary of the activities of the grantees of the Gulf Region Health Outreach Program (“GRHOP”) and the operations of the Gulf Region Health Outreach Program Library.

I. EXECUTIVE SUMMARY

The Claims Administrator has received 37,266 unique claims for compensation for an SPC and/or participation in the PMCP through the end of the Reporting Period. This status report will provide an overview of the claims processing forecast for both the 2014 and 2015 Claims, the variables influencing the progression of those claims, and the outcome of claims as they progress through the stages of review. In summary:

² The 2014 Claims include all POCFs received by the Claims Administrator from the entry of Preliminary Approval on May 3, 2012 through December 31, 2014. While the Claims Administrator was approved to receive claims after Preliminary Approval, the Claims Administrator was not approved to process claims beyond the Party-approved RAI process until the Effective Date of the Settlement. Hence, all claims received in 2012, 2013, and 2014 are referred to as the 2014 Claims.

- Over ninety percent (90%) of 2014 Claims have reached a final determination.³
 - Through the end of the Reporting Period, ninety-three percent (93%) of the 2014 Claims have reached a final determination (either approval or denial), and seven percent (7%) require additional processing.
 - Of the ninety-three percent (93%) reaching a final determination, fifty percent (50%) were approved for compensation for an SPC, and another twenty-six percent (26%) were approved to participate in the PMCP. Furthermore, nineteen percent (19%) of the 2014 Claims going through the Notice of Defect Process have received an “Approved with Defects” notice, meaning that the Medical Benefits Settlement Class Member (“Class Member”) has been approved for at least one compensable SPC.
 - Overall, the 2014 Claims have been and continue to be impacted by high defect rates, with eighty-one percent (81%) receiving either a Request for Additional Information (“RAI”) or Notice of Defect during the life of the claim. Additionally, thirty-seven percent (37%) are impacted by changes or updates the claimants made to their Proof of Claim Forms or supporting documentation, which require us to re-review the claims.
 - The Claims Administrator currently forecasts that the majority of the seven percent (7%) of the 2014 Claims requiring additional processing will be finalized at an even rate through 2016 as responses to defects and deficiencies, as well as changes or updates, undergo re-review. Some claims are forecasted to be finalized in 2017, specifically those claims that (a) had not received Notices of Defect by the end of June 2016, (b) are pending retrieval of medical records, (c) are pending program integrity resolution, and/or (d) had been denied but are now the subject of a Request for Review or a class membership denial challenge filed with the Court.
- Nearly eighty percent (80%) of 2015 Claims have reached a final determination.
 - Through the end of the Reporting Period, seventy-eight (78%) of the 2015 Claims have reached a final determination (either approval or denial), and twenty-two percent (22%) require additional processing.
 - Of the seventy-eight percent (78%) reaching a final determination, sixty-five percent (65%) were approved for compensation for an SPC, and another ten percent (10%) were approved to participate in the PMCP. Furthermore, nine percent (9%) of the 2015 Claims going through the Notice of Defect Process have received an “Approved with Defects” notice, meaning that the Class Member has been approved for at least one compensable SPC.

³ Claims that have reached a final determination may require additional processing, generally involving the completion of investigations or audits by the Claims Administrator, before the final determination can be sent to the Class Member.

- Like the 2014 Claims, the 2015 Claims have been and continue to be impacted by substantial defect rates, with seventy-six percent (76%) receiving either an RAI or a Notice of Defect. Additionally, fifty-nine percent (59%) are impacted by changes or updates the claimants made to their Proof of Claim Forms or supporting documentation, which require us to re-review the claims.
- The Claims Administrator continues to forecast that the majority of the twenty-two percent (22%) of the 2015 Claims requiring additional processing will be finalized at an even rate through late 2016 as responses to defects and deficiencies, as well as changes or updates, undergo re-review. Some claims are forecasted to be finalized in 2017, specifically those claims that (a) had not received Notices of Defect by the end of June 2016, (b) are pending retrieval of medical records, (c) are pending program integrity resolution, and/or (d) had been denied but are now the subject of a Request for Review or a class membership denial challenge filed with the Court.
- The compensation allocated to SPC-determined claims continues to increase.
 - During the Reporting Period, the Claims Administrator approved Class Members for nearly \$13.4 million in SPC compensation, bringing the cumulative total to \$42.9 million.
 - Additionally, the Claims Administrator determined that another 1,045 Class Members who had partially defective claims also had at least one valid SPC claim and that the total amount of compensation for which the Class Members were currently eligible on those claims was \$7.9 million. The Claims Administrator sent those claimants an “Approved with Defects” notice, giving them the option of either attempting to cure the Defects in an effort to get greater compensation or accepting the compensation for which they currently qualify.
 - Between the amounts allocated through SPC-determined claims and the amounts to be allocated through the “Approved with Defects” claims, the total SPC compensation for which Class Members qualified as of the end of the Reporting Period amounted to more than \$50.7 million.
- Class Members continue to be approved for enrollment in the PMCP.
 - During the Reporting Period, we approved Class Members to participate in the PMCP, bringing the total to 27,366 to date. We sent PMCP Notices of Determination to 1,179 Class Members during the Reporting Period, for a total of 24,796 over the life of the program.

This information is discussed in greater detail below.

II. DETAILED CLAIMS PROGRESSION

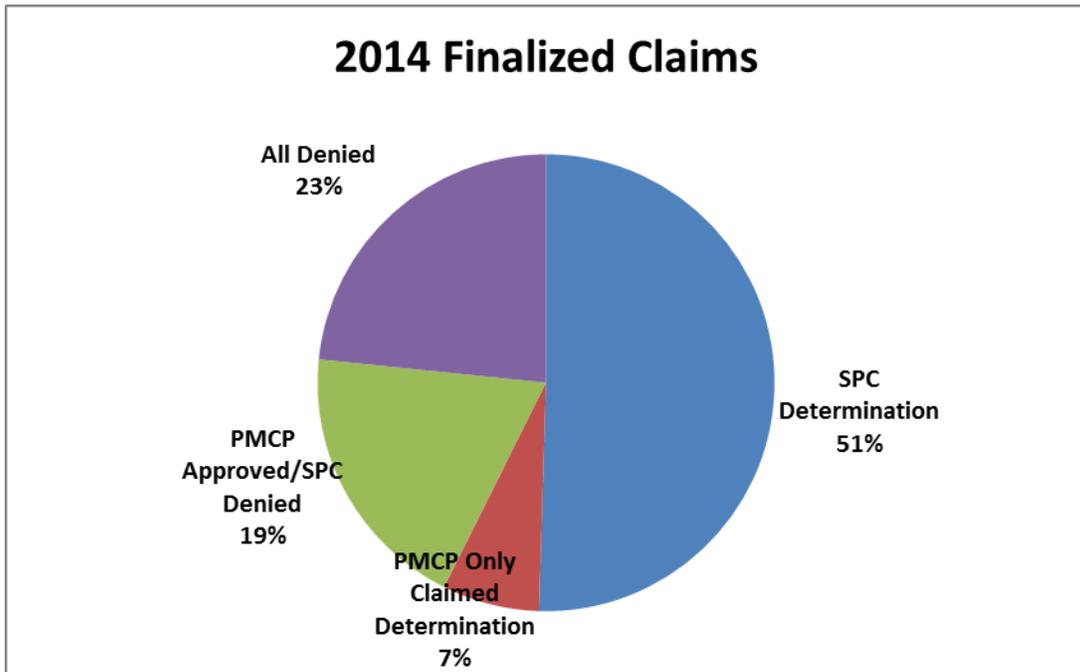
A. Progression of 2014 Claims

The Claims Administrator received 12,392 2014 Claims. Over the Reporting Period, the Claims Administrator received 217 changes or updates to those same claims forms. The additional information must be processed through intake and then re-reviewed at each subsequent processing stage to determine its impact. The number of 2014 Claims receiving a final determination or clearing lien resolution continued to increase throughout the Reporting Period. Of the 12,392 2014 Claims, ninety-three percent (93%) have been processed to a final determination, and seven percent (7%) require additional processing.

Of the claims reaching a final determination,

- Fifty-one percent (51%) were approved for compensation for a Specified Physical Condition, and sixty-eight percent (68%) of those claims were paid;
- seven percent (7%) did not seek the SPC compensation benefit and instead claimed and qualified for the PMCP benefit only;
- nineteen percent (19%) proved they were Class Members and qualified to receive the PMCP benefit but failed to prove they qualified for SPC compensation; and
- twenty-three (23%) were denied because they (a) did not prove they were Class Members, (b) filed a valid opt-out, or (c) did not claim or prove a compensable SPC.

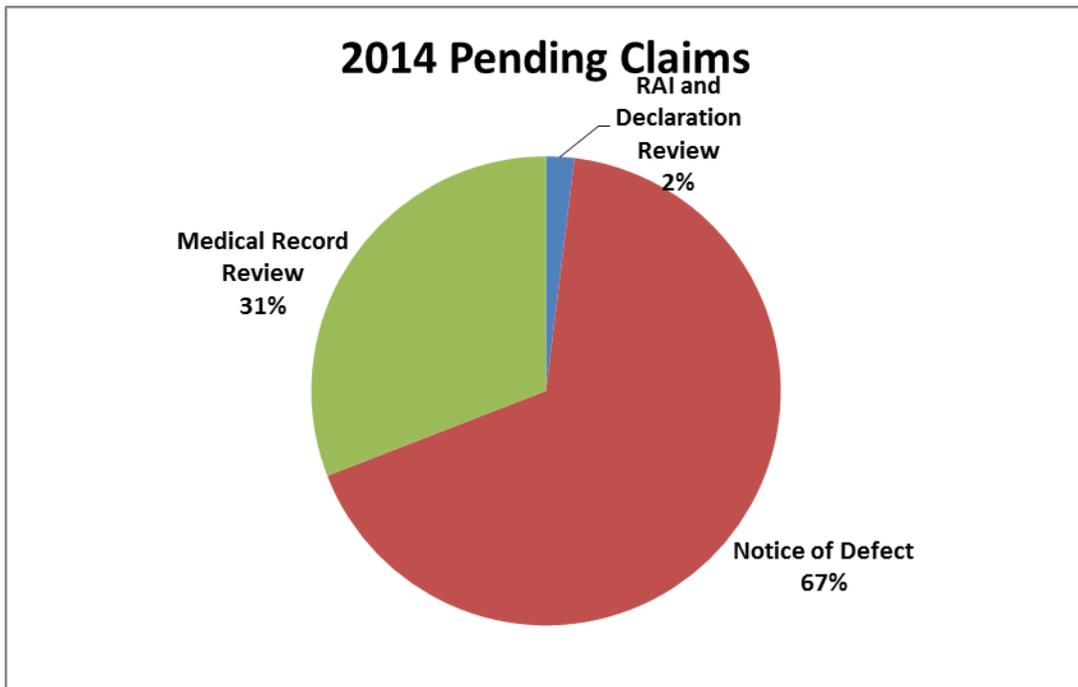
Figure 1: Composition of Finalized 2014 Claims



Of the claims that require additional processing:

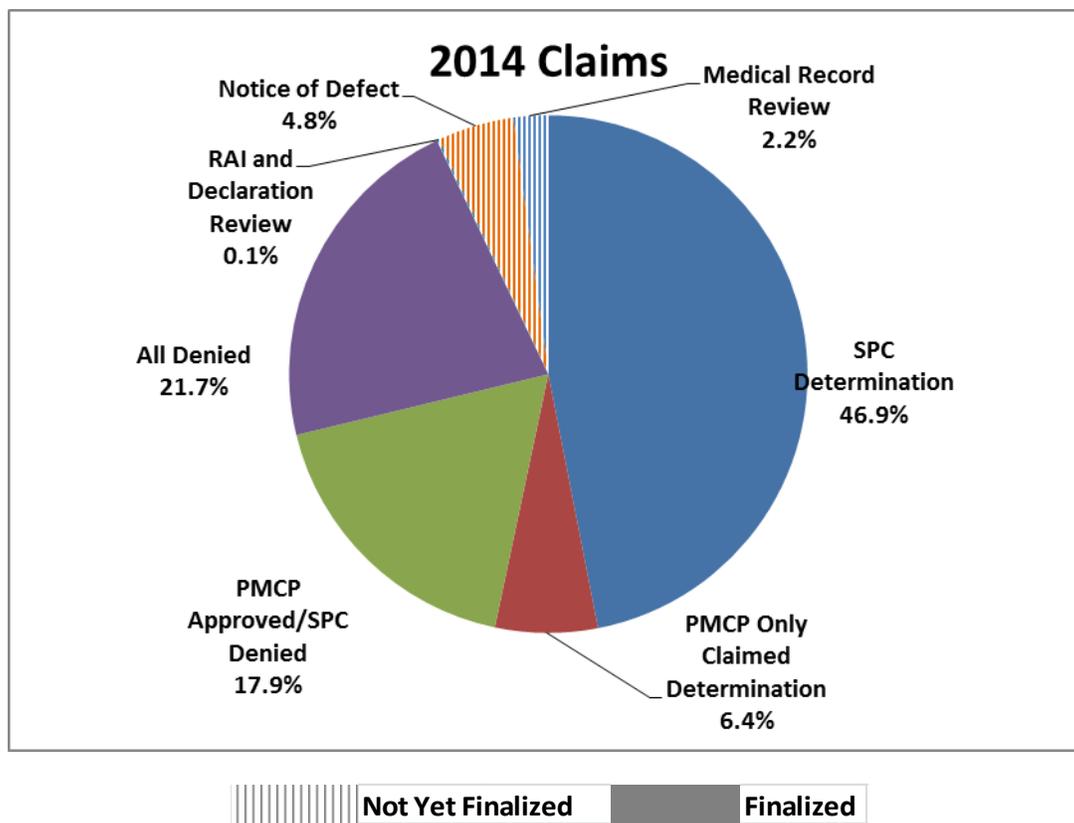
- two percent (2%) are pending Declaration Review or RAI processing;
- sixty-seven percent (67%) have already received or are scheduled to receive a Notice of Defect and will need to submit additional information; and
- thirty-one percent (31%) are undergoing Medical Record Review.

Figure 2: Composition of Pending 2014 Claims



Thus, the current overall composition of the 2014 Claims is as follows:

Figure 3: Overall Composition of 2014 Claims



B. Progression of 2015 Claims

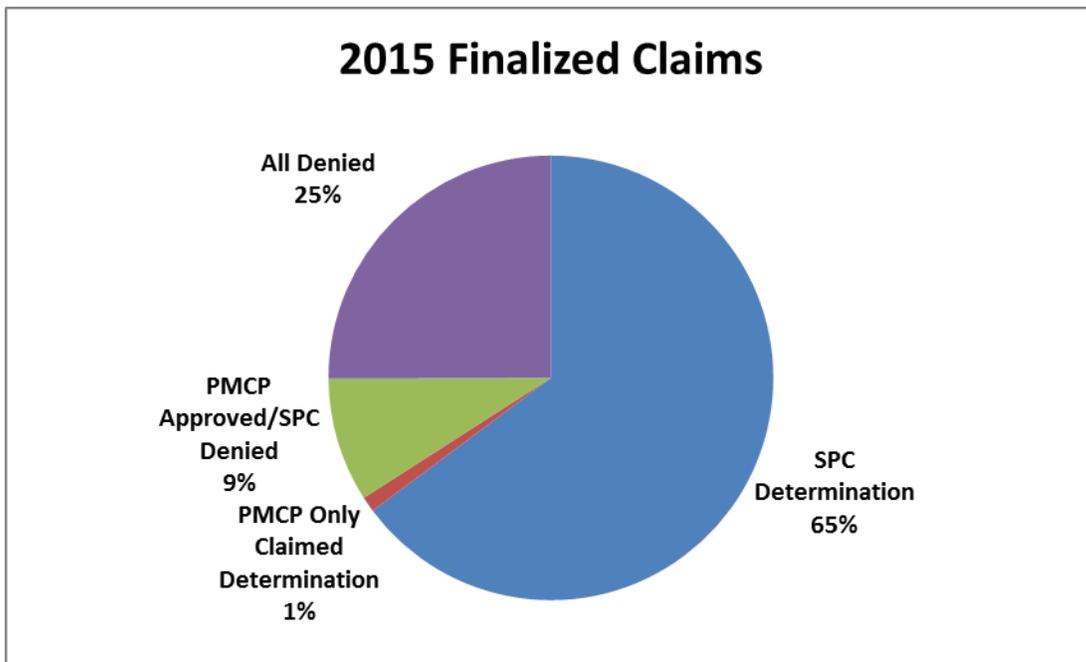
The Claims Administrator received 24,874 2015 Claims to date.⁴ Over the Reporting Period, we received approximately 1,981 changes or updates to 2015 Claims. As with the 2014 Claims, this additional information must be processed through intake and then be re-reviewed. Of the 24,874 claims, seventy-eight percent (78%) have been processed to a final determination, and twenty-two percent (22%) require additional processing. Of the claims reaching a final determination,

- sixty-five percent (65%) were approved for compensation for an SPC, and sixty-nine percent (69%) of those claims were paid;

⁴ Pursuant to Section V.A. of the MSA, any claim submitted to the Claims Administrator more than one year after the Effective Date is untimely and must be denied.

- one percent (1%) did not seek the SPC compensation benefit and instead claimed and qualified for the PMCP benefit only; and
- nine percent (9%) proved they were Class Members and qualified to receive the PMCP benefit but failed to prove they qualified for SPC compensation; and
- twenty-five (25%) were denied because they (a) did not prove they were Class Members, (b) filed a valid opt-out, or (c) did not claim or prove a compensable SPC.

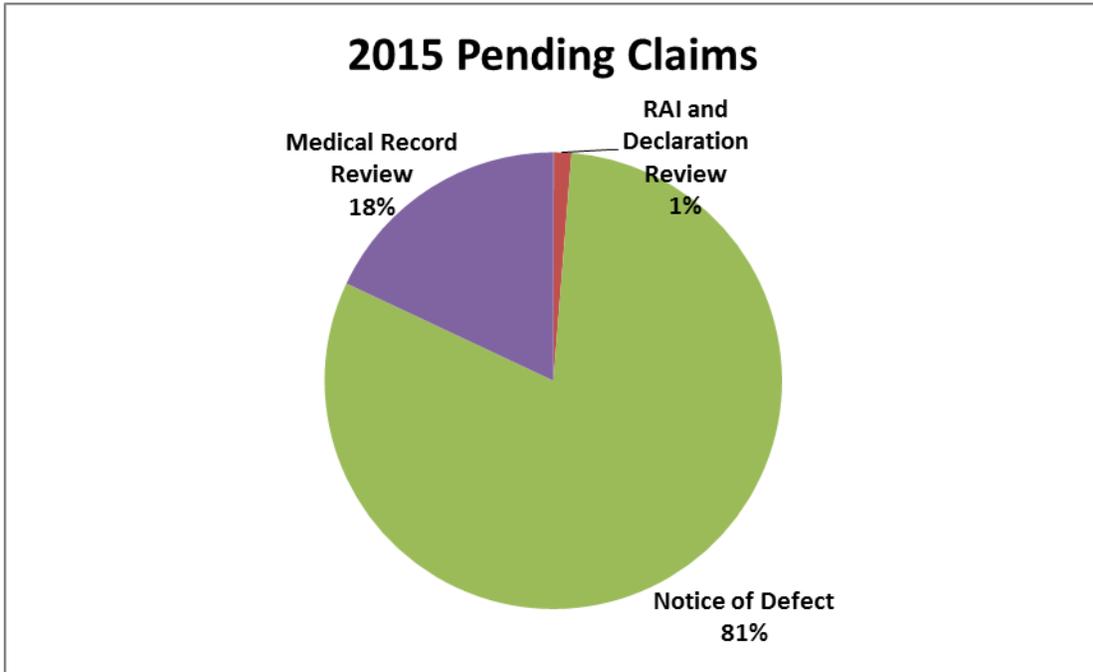
Figure 4: Composition of Finalized 2015 Claims



Of the claims that require additional processing:

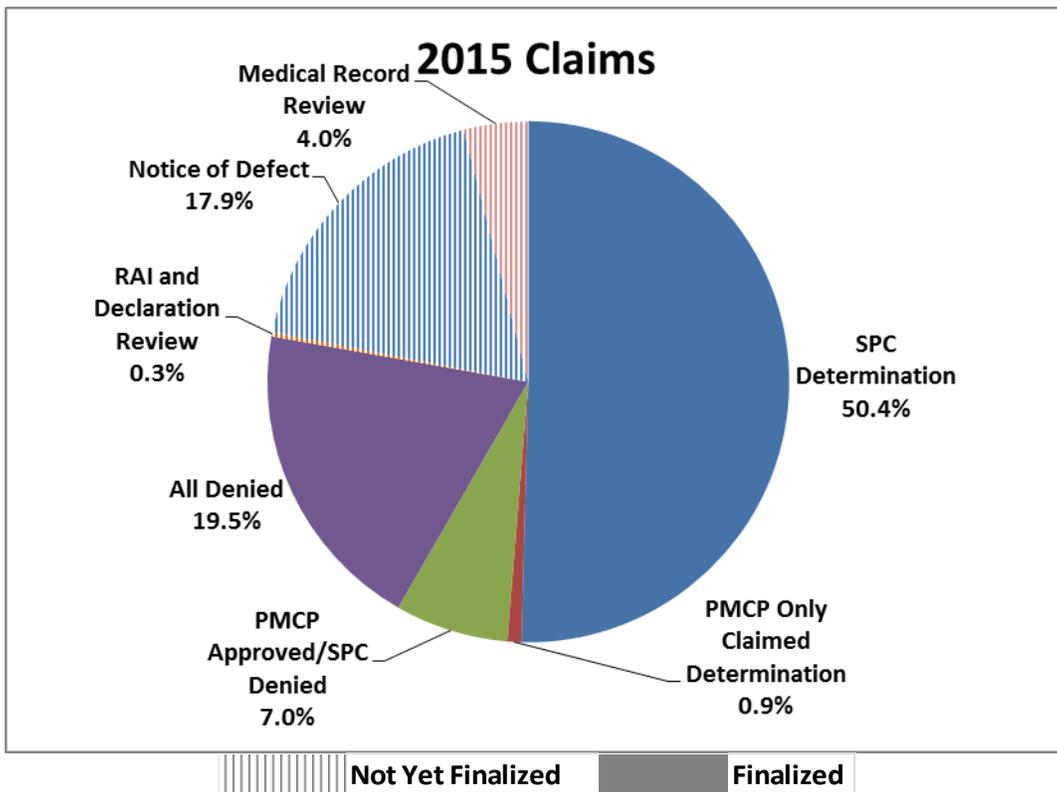
- one percent (1%) are pending Declaration Review or RAI processing;
- eighty-one (81%) have already received or are scheduled to receive a Notice of Defect and will need to submit additional information; and
- eighteen percent (18%) are actively in the Medical Record Review process.

Figure 5: Composition of Pending 2015 Claims



Thus, the current overall composition of the 2015 Claims is as follows:

Figure 6: Overall Composition of 2015 Claims



III. CLAIMS FOR SPECIFIED PHYSICAL CONDITIONS

A. Claimed Benefits and Compensation Level

For the total 37,266 Proof of Claim Forms (“POCFs”) received, Table 1 provides a breakdown of those that sought compensation for an SPC and participation in the PMCP and those that sought only participation in the PMCP.

| TABLE 1: POCF FILINGS AVAILABLE FOR INITIAL CLAIMS REVIEW | |
|---|---------------|
| | Total |
| Total POCF Filings Available for Initial Claims Review | 37,266 |
| Claims for Compensation for Both SPCs and Participation in the PMCP | 36,382 |
| Claims for PMCP Only | 884 |

The graphs below provide a breakdown of the compensation levels claimed in the 2014 and 2015 Claims, respectively:

Figure 7: Compensation Level Composition of 2014 Claims

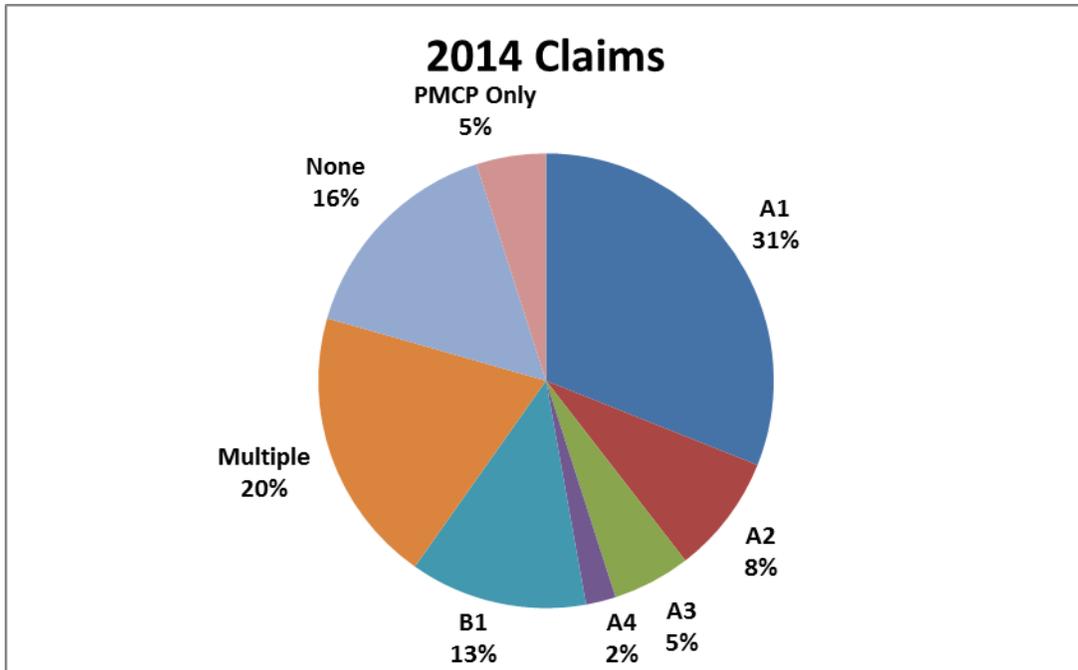


Figure 8: Compensation Level Composition of 2015 Claims

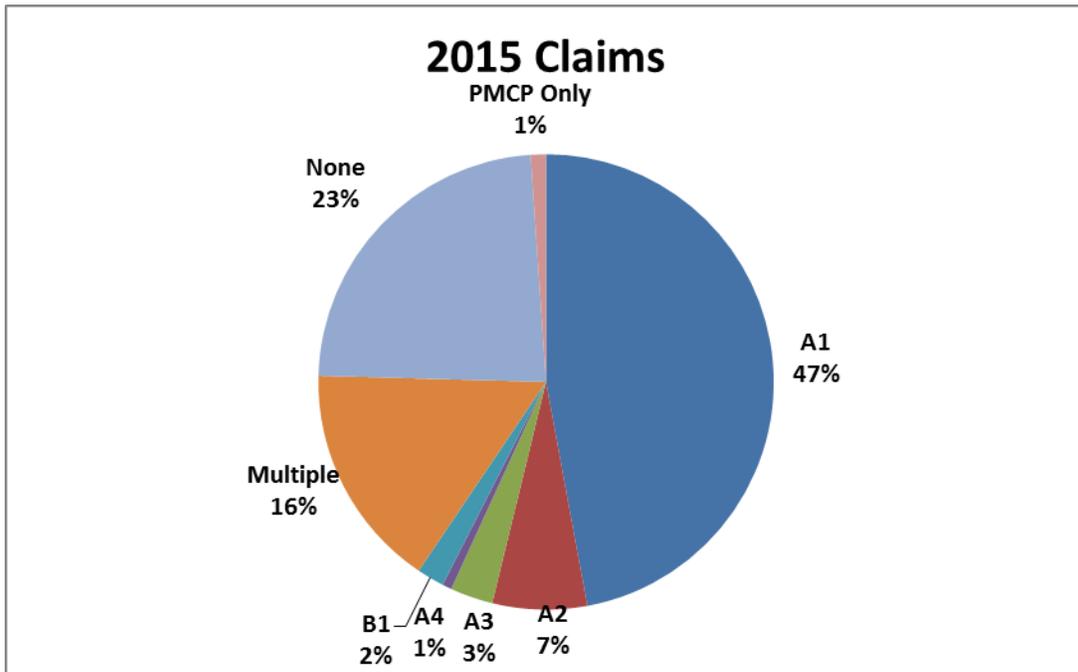


Table 2, below, compares the composition of the claimed compensation levels in the 2014 Claims with those in the 2015 Claims and shows the percentage change between those two groups of claims.

| Table 2: Claimed Compensation Level | | | | | | | | |
|-------------------------------------|--------|---------|---------|---------|----------|----------|--------|-----------|
| | A1 | A2 | A3 | A4 | B1 | Multiple | None | PMCP Only |
| Percentage of 2014 Claims | 31.04% | 8.47% | 5.54% | 2.12% | 12.59% | 19.66% | 15.62% | 4.95% |
| Percentage of 2015 Claims | 47.09% | 6.71% | 3.04% | 0.67% | 1.94% | 15.99% | 23.48% | 1.09% |
| Vintage Claim Comparison | 16.05% | (1.77%) | (2.51%) | (1.45%) | (10.65%) | (3.67%) | 7.86% | (3.86%) |

In Table 3 below, we provide statistics of the claimed compensation level in Section VII of the POCF as compared to the awarded compensation level. In over eighty-four percent (84%) of claims where the Class Member has claimed and qualified for a single compensation level,

that same level of compensation has been awarded. For the sixteen percent (16%) not awarded the claimed compensation level, the Claims Administrator has awarded both higher and lower compensation levels based on review of the POCF and supporting documentation provided. For claims where the Class Member selects multiple compensation levels or no compensation level in Section VII of the POCF, the rate of claims qualifying for A1-only compensation to those qualifying for A2 or higher compensation is approximately three to one (3:1), meaning that a greater number of these claims are qualifying for A1 compensation rather than A2 or higher compensation.

| Table 3: Determined Compensation Level | | | | | | | | | | | |
|---|--------------|----------|--------------|----------|--------------|----------|--------------|----------|--------------|----------|--------------------|
| Qualified Compensation Level | A1 | | A2 | | A3 | | A4 | | B1 | | Grand Total |
| Section VII of POCF Claimed Compensation Level | Count | % | |
| A1 | 11,247 | 98.21% | 82 | 0.72% | 103 | 0.90% | 19 | 0.17% | 1 | 0.01% | 11,452 |
| A2 | 581 | 40.24% | 789 | 54.64% | 64 | 4.43% | 8 | 0.55% | 2 | 0.14% | 1,444 |
| A3 | 364 | 32.27% | 77 | 6.83% | 626 | 55.50% | 60 | 5.32% | 1 | 0.09% | 1,128 |
| A4 | 64 | 35.96% | 12 | 6.74% | 16 | 8.99% | 86 | 48.31% | | 0.00% | 178 |
| B1 | 466 | 51.15% | 335 | 36.77% | 89 | 9.77% | 7 | 0.77% | 14 | 1.54% | 911 |
| Multiple | 1,518 | 71.33% | 390 | 18.33% | 181 | 8.51% | 33 | 1.55% | 6 | 0.28% | 2,128 |
| None | 938 | 84.13% | 106 | 9.51% | 56 | 5.02% | 15 | 1.35% | | 0.00% | 1,115 |
| Total | 15,178 | 82.69% | 1,791 | 9.76% | 1,135 | 6.18% | 228 | 1.24% | 24 | 0.13% | 18,356 |

B. Claims Requiring RAI and/or Notice of Defect

As has been the case historically, the majority of claims have received an RAI and/or a Notice of Defect according to the requirements of the MSA. During the Reporting Period, the Claims Administrator sent 39 RAIs and 1,414 Notices of Defect. Since the inception of the Settlement, the Claims Administrator sent 29,181 RAIs and 21,325 Notices of Defect.

| TABLE 4: RAIs AND NOTICES OF DEFECT | | |
|--|-------------------------|--------------|
| RAIs | Reporting Period | Total |
| RAIs Sent | 39 | 29,181 |
| Responses to RAIs Received | 461 | 20,728 |
| Defects | Reporting Period | Total |
| Notices of Defect Sent | 1,414 | 21,325 |
| Defect Cure Materials Received | 1,786 | 7,853 |

1. Requests for Additional Information

Of the 39 RAIs sent during the Reporting Period, thirty-one percent (31%) were RAI-Missing, and sixty-nine percent (69%) were RAI-Incomplete.⁵ Thirty-one percent (31%) were sent to unrepresented claimants, and sixty-nine percent (69%) were sent to claimants represented by counsel. More than sixty-five percent (65%) of the 2014 Claims have required at least one (1) RAI, and over twenty percent (20%) have required the maximum of two (2) RAIs. Approximately sixty-three percent (63%) of the 2015 Claims have required at least one (1) RAI, and over twelve percent (12%) have required the maximum of two (2) RAIs. The overall response rate to RAIs was seventy-one percent (71%), with claimants represented by counsel responding at a higher rate (seventy-six percent (76%)) than those who are unrepresented (fifty-seven percent (57%)). The overall cure rate for responding to RAIs for both claimants represented by counsel and those unrepresented is approximately fifty-nine percent (59%).

As previously reported, failure to respond to an RAI-Missing within the sixty-day (60-day) response period will not necessarily result in the denial of a claim; rather, the failure to respond to an RAI-Missing by submitting a first-party injury declaration in compliance with the

⁵ Under the Party-approved RAI process, a claimant may receive an RAI-Missing for failing to submit a first-party injury declaration with his or her original POCF. If the claimant submits a first-party injury declaration that omits necessary information, either in response to an RAI-Missing or at another point in the claims process, the claimant may receive an RAI-Incomplete. For each RAI sent by the Claims Administrator, the claimant has sixty (60) days to respond. A claimant may receive only one (1) RAI-Missing and one (1) RAI-Incomplete, as applicable.

Specified Physical Condition Matrix (the “SPC Matrix”) will result in a Defect of “Missing Declaration of Injury Document” on a Notice of Defect. The claimant would then have 120 days to cure that Defect and any other material Defects listed in the notice.

Similarly, failure to respond to or cure all deficiencies identified within an RAI-Incomplete will not necessarily result in the denial of a claim, because only some of a claimant’s claimed or declared conditions may be deficient and included in the RAI. In that circumstance, even if the claimant fails to respond to the RAI, the claimant might still receive compensation for the valid conditions in his or her declaration (assuming the claimant met the other requirements of the MSA). These RAI processing standards and distinctions are highlighted in the “Frequently Asked Questions About Declarations and Requests for Additional Information” available on the Claims Administrator’s website. In addition, a copy of this FAQ is included with each RAI sent from the Claims Administrator, and we have call center representatives and firm liaisons available to provide assistance.

2. Notices of Defect

Of the 21,325 Notices of Defect sent through the end of the Reporting Period, twenty-two percent (22%) were sent to unrepresented claimants or Class Members, and seventy-eight percent (78%) were sent to claimants or Class Members represented by counsel. More than eighty percent (80%) were sent to Class Members claiming to be or approved as Clean-Up Workers. Approximately fifty-three percent (53%) of the Notices of Defect sent listed multiple Defects. More specifically, thirty percent (30%) identified two (2) through five (5) Defects, twelve percent (12%) identified six (6) through ten (10) Defects, and ten percent (10%) identified more than ten (10) Defects.

Of the 21,325 Notices of Defect sent through the end of the Reporting Period, sixty percent (60%) include Defects identified during initial claims review and prior to the Medical Record Review stage. Twenty-six percent (26%) of the 1,414 Notices of Defect sent during the Reporting Period identified at least one Defect prior to the Medical Record Review stage in the claims process. The five (5) most common material Defects identified for the population are as follows:

- “Missing Declaration of Injury document”;
- “Missing Medical Records documentation”;
- “Documentation included with the claim does not establish that the claimant was employed as a Clean Up Worker between the dates of April 20, 2010 and April 16, 2012”;
- “Missing Third Party Witness Injury Declaration document”; and
- “Proof Of Residency Documents Failed To Prove Residence For 60 Days Between April 20, 2010 And September 30, 2010 for Zone A.”

Of the 21,325 Notices of Defect sent through the end of the Reporting Period, forty percent (40%) include Defects identified during the Medical Record Review process. Seventy-four percent (74%) of the 1,414 Notices of Defect sent during the Reporting Period identified at least one Defect subsequent to the Medical Record Review stage in the claims process. The five (5) most common material Defects identified during the Medical Record Review process are as follows:

- “No medical records were submitted or the documentation submitted does not support the claimed SPECIFIED PHYSICAL CONDITION”;
- Generally – “The medical records do not meet the criteria set forth in Level A2, A3, A4, and/or B1 of the Specified Conditions Matrix.” Specifically – “The date of first diagnosis for the claimed SPECIFIED PHYSICAL CONDITION occurred on or after April 16, 2012. This claimed condition does not qualify as a SPECIFIED PHYSICAL

CONDITION as set forth on the SPECIFIED PHYSICAL CONDITIONS MATRIX”⁶;

- “The documentation submitted does not support the claimed SPECIFIED PHYSICAL CONDITION”;
- “The medical records do not meet the criteria set forth in Level A2 of the Specified Conditions Matrix: The medical records submitted do not support the assertions in the declaration concerning the time of onset of the claimed SPECIFIED PHYSICAL CONDITION following the alleged exposure as set forth in the SPECIFIED PHYSICAL CONDITIONS MATRIX”; and
- “The third-party declaration does not meet the criteria set forth in Level A1 of the Specified Physical Conditions Matrix: The third-party declaration was not signed by the individual submitting the third-party declaration.”

As of the end of the Reporting Period, the response period had expired for 16,972 (eighty percent (80%)) of claims having received a Notice of Defect. The overall response rate was forty-six percent (46%). The response rate for unrepresented claimants or Class Members was thirty-five percent (35%), while the response rate for represented claimants or Class Members was fifty percent (50%). As previously reported, failure to respond to or cure all Defects identified within a Notice of Defect will not necessarily result in the denial of a claim, because only some aspects of a claimant’s claim may be defective and listed in a Notice of Defect. In that circumstance, even if the claimant failed to respond to the Notice of Defect or to cure all of the Defects listed in it, the claimant might still receive compensation. Specifically, of claimants or Class Members who received a Notice of Defect that included Defects identified during the Medical Record Review process, fifty-nine percent (59%) were subsequently found to qualify for SPC compensation. Furthermore, a claimant who has a Defect in his or her claim for

⁶ This Defect results from the Court’s July 23, 2014 Order (Rec. doc. 12862) holding that all conditions first diagnosed after April 16, 2012 must be classified as Later-Manifested Physical Conditions. Notably, the Claims Administrator does not automatically deny claims where the medical records initially submitted with the claim indicate a date of first diagnosis after April 16, 2012. Rather, we issue a Notice of Defect to afford the Class Member the opportunity to provide medical record evidence of the diagnosis that pre-dates April 16, 2012. If the Class Member does not submit any such records, the Class Members claim for SPC compensation would be denied, but the Class Member would be free to pursue compensation for that condition as an LMPC.

compensation for an SPC but has proven that he or she is a Class Member will receive a Notice of Determination for the PMCP benefit. Hence, such Class Member can take advantage of that benefit while attempting to cure the Defects in his or her claim for SPC compensation.

C. Claims Processed Through Each Stage of Claims Review

As discussed above, a significant percentage of the POCFs submitted continue to contain one or more deficiencies or Defects. These deficiencies and Defects not only increase the amount of time it takes for a claimant to reach the determination stage, but also increase the time it takes the Claims Administrator to process the claims. The Claims Administrator must wait as long as sixty (60) or 120 days to receive the responses to the RAIs and/or Notices of Defects, respectively, and then must process the responses.

During the Reporting Period, the Claims Administrator has reviewed and/or processed the following numbers of claims through each of the following sequential stages in the claims review process:

| TABLE 5: CLAIM REVIEW PROCESSING | | |
|--|-------------------------------------|--------------|
| Processing Stage | Number of Claims⁷ | |
| | Reporting Period | Total |
| Notice of Defect Gate One Process (Which Includes Class Membership Defects) ⁸ | 236 | 12,088 |
| Declaration Review Process ⁹ | 970 | 40,465 |
| RAI Process ¹⁰ | 39 | 29,181 |
| Medical Record Review Process ¹¹ | 3,048 | 28,423 |
| Notice of Defect Gate Two Process ¹² | 1,179 | 9,237 |

⁷ Claims can move through Declaration Review (due to responses to RAI), the RAI Process (due to a defective response to an RAI-Missing, resulting in an RAI-Incomplete), and Medical Record Review (due to cure responses to originally defective claims) multiple times.

⁸ Total claims with Gate One Defects, including basis of participation Defects, which received a Notice of Defect. Gate One Defects are those such as “Missing Declaration of Injury Document” or “Missing Medical Records Documentation,” which prevent a claim from moving to Medical Record Review.

⁹ Total number of injury declaration reviews completed. A claim may go through this process more than once as a result of RAI responses.

¹⁰ Total claims requiring an RAI that received a RAI.

¹¹ Total claims that were reviewed by Claims Administrator’s Medical Record Review staff.

The Claims Administrator completed another 3,048 medical record reviews during the Reporting Period, bringing the total initial reviews completed since inception to 28,423.

D. Claims Sent Dispositive Correspondence for a Specified Physical Condition

The overall percentage of 2014 Claims and 2015 Claims reaching final determination has increased over the Reporting Period to ninety-three percent (93%) and seventy-eight percent (78%), respectively. The total number of claims approved for SPC compensation over the Reporting Period has continued to increase, due in part to the receipt of responses to previously pending RAIs and Notices of Defect for both the 2014 Claims and 2015 Claims, as well as improved processing speeds.

During the Reporting Period, we sent SPC Notices of Determination to 3,793 Class Members, over 300 more than the prior quarter, approving them for \$13,386,000 in compensation. Since the inception of the Settlement, we sent SPC Notices of Determination to 16,674 Class Members, approving them for \$42,859,050 in compensation. Over this Reporting Period, the total percentage of finalized 2014 Claims moving to an approved determination increased to fifty-one percent (51%). Over this Reporting Period, the total percentage of finalized 2015 Claims moving to an approved determination decreased to sixty-five percent (65%).

The Claims Administrator also sent 429 “Approved with Defects” notices during the Reporting Period, bringing the total number of “Approved with Defects” notices sent since inception to 2,984. An “Approved with Defects” notice is sent to a Class Member who has at least one valid SPC but one or more other SPCs that contain a Defect and might result in an award of higher compensation. A Class Member receiving this notice can choose either to

¹² Total claims that have completed Medical Record Review but that contain Defects preventing a final determination.

attempt to cure the Defects and thus possibly receive greater compensation or to waive that opportunity and proceed to determination on his or her valid SPC(s). One thousand nine hundred eight (1,908) of the 2,984 Class Members who received an “Approved with Defects” notice subsequently received an SPC Notice of Determination. The total compensation for the remaining 1,045 Class Members who received an “Approved with Defects” notice but who have not yet received an SPC Notice of Determination is \$7,855,000. Therefore, the total amount allocated (by SPC Notices of Determination) and to be allocated (by “Approved with Defects” letters) is \$50,714,050.

The Claims Administrator sent 3,042 Notices of Denial during the Reporting Period, for a total of 10,531 Notices of Denial from the inception of the Settlement through the end of the Reporting Period. All of these claims have been denied because the claimant did not qualify as a Class Member, because the claimant opted out of the settlement, and/or because the claimant did not meet the criteria established by the MSA to receive compensation for an SPC.

A summary of the dispositive correspondence sent on claims for compensation for an SPC is set forth in Table 6, below.

| TABLE 6: CLAIMS DISPOSITION AND CORRESPONDENCE | | |
|---|-------------------------|--------------|
| Approvals | Reporting Period | Total |
| SPC Notices of Determination Sent — 2014 Claims | 936 | 5,254 |
| SPC Notices of Determination Sent — 2015 Claims | 2,857 | 11,420 |
| SPC Notices of Determination Sent Total | 3,793 | 16,674 |
| Denials | Reporting Period | Total |
| Notices of Denial Sent — 2014 Claims | 628 | 4,784 |
| Notices of Denial Sent — 2015 Claims | 2,414 | 5,747 |
| Notices of Denial Sent — Total | 3,042 | 10,531 |

E. Claims Approved for SPC Compensation

During the Reporting Period, the amount of SPC compensation for which Class Members were approved increased, as reflected in Table 7, below.

| TABLE 7: APPROVED CLAIMS FOR SPCs¹³ | | | | | | |
|---|---|--------------------------------------|---|--------------------------------------|---|---|
| SPC | Reporting Period Number Approved | Total Number Approved to Date | Reporting Period Amount Approved | Total Amount Approved to Date | Total “Approved with Defects” Amount Allocated to Date | Total Compensation Allocated to Date |
| A1 | 2,713 | 14,010 | \$3,435,700 | \$17,837,800 | \$226,800 | \$18,064,600 |
| A2 | 637 | 1,413 | \$4,805,650 | \$10,654,050 | \$4,659,850 | \$15,313,900 |
| A3 | 347 | 1,025 | \$4,595,450 | \$12,968,750 | \$2,260,050 | \$15,228,800 |
| A4 | 91 | 212 | \$245,700 | \$572,400 | \$162,000 | \$734,400 |
| B1 | 5 | 14 | \$303,500 | \$826,050 | \$546,300 | \$1,372,350 |
| Total | 3,793 | 16,674 | \$13,386,000 | \$42,859,050 | \$7,855,000 | \$50,714,050 |

As set forth in the MSA, Class Members can only be paid once certain potential obligations to third parties are identified and resolved. The resolution of these obligations is dependent upon the responsiveness of both governmental agencies and private interests in replying to the Claims Administrator’s requests for information and resolution. The obligations generally fall into two general categories: healthcare-related obligations and other obligations.

The resolution of healthcare obligations involves confirming whether a Class Member received benefits from a governmental payor (such as Medicare, Medicaid, or the Veterans’ Administration) or a private healthcare plan for a compensable injury such that the Class Member must now reimburse those entities for the amounts they paid. The processing phases

¹³ Please note that the total volumes and total dollars approved are subject to change in each Reporting Period due to later received and processed Requests for Review.

include (1) confirming entitlement with the government agency or private plan, (2) receiving claims from the agency or plan, (3) auditing those claims and disputing any that are unrelated to the Class Member's compensable injury, and (4) final resolution. Pursuant to the terms of the MSA, the Claims Administrator obtained an agreement from CMS establishing capped repayment amounts per SPC for Class Members who are or were beneficiaries of Medicare. The Claims Administrator also negotiated with state Medicaid agencies to cap recovery for Medicaid-entitled Class Members. Most states agreed to waive recovery rights for Class Members receiving compensation for an A1 claim. Additionally, most state Medicaid agencies agreed to a twenty percent (20%) cap on and up to a thirty-five percent (35%) offset for fees and costs typically associated with their recovery, thereby allowing partial funding to the Class Member while full resolution is pending. Processing times for Medicaid-entitled Class Members eligible for payment will vary. Each state has its own processing standards for responding to entitlement requests, producing claims, and finalizing lien amounts.

The resolution of non-healthcare-related obligations involves identifying the various types of obligations and working with the claimant or the claimant's representative to resolve them. The processing phases include (1) identifying the obligation (through review of claim documents, PACER searches, and searches of the Louisiana Child Support Database), (2) sending correspondence seeking documentation that will resolve the complication, (3) reviewing the submitted documentation for sufficiency, and (4) final resolution. The Claims Administrator tracks responses to its correspondence and sends a follow-up letter to non-responsive parties after thirty (30) to sixty (60) days have passed (with the length of time depending on the complication). We will also send follow-up correspondence when the responses contain insufficient documentation. The resolution time for payment complications

varies and remains heavily dependent upon the timeliness and sufficiency of the third parties' responses to our information requests. Through the end of the Reporting Period, the average age of claims awaiting payment from the date final determination is approximately 120 days depending on the complexity of the payment complications.

Once the obligations affecting a given claim are resolved and any liens or reimbursement obligations are paid, the Claims Administrator is able to disburse the balance of the Class Member's compensation.

F. Data Disclosure Form Submissions and Results

Data Disclosure Forms may be filed at any time during the claims review process by Natural Persons seeking information from the databases, data fields, and other documentary evidence provided by BP to the Claims Administrator. Notably, Data Disclosure Forms may continue to be filed *after* the submission of a Proof Claim Form and therefore can be filed *after* the claims filing deadline of February 12, 2015. Information provided via the submission of a Data Disclosure Form allows the Claims Administrator to make a determination concerning (a) the status of a Natural Person claiming to be a Clean-Up Worker and/or (b) a claim made by a Clean-Up Worker for compensation for a Specified Physical Condition. *See* MSA § XXI.B.

During the Reporting Period, the Claims Administrator received 575 Data Disclosure Forms, for a total of 27,107 Data Disclosure Forms since the approval of the MSA. The Claims Administrator responded to 732 Data Disclosure Forms during the Reporting Period, bringing the total number of responses to 32,841 since the approval of the MSA. Of the 27,107 Data Disclosure Forms received, 20,497 were related to unique claimants, while 6,610 were Data Disclosure Forms with additional information filed by the same claimants. Among the unique claimants filing Data Disclosure Forms, eighty-four (84%) were confirmed as Clean-Up Workers

by finding a match in at least one employer database other than the “Training” database. Twelve percent (12%) of those unique claimants were matched in the “Medical Encounters” database, while nineteen percent (19%) were matched in a medically relevant database, such as the “Traction” database or the “Injury/Illness” database.

IV. CLASS MEMBER SERVICES CENTER ACTIVITY

The Claims Administrator operates a Class Member Services Center located in New Orleans to communicate with Class Members and their attorneys and to assist them with filing their claims. During the Reporting Period, the Class Member Services Center received 9,187 telephone calls. Since opening, the Class Member Services Center has received a total of 184,582 telephone calls. The Class Member Services Center handled an average of 141 calls per day. The average length of each telephone call was seven minutes and twenty-eight seconds, with an average wait time of four minutes. The Class Member Services Center also received 278 emails during the Reporting Period, and five (5) individuals visited the Class Member Services Center in person.

| TABLE 8: CLASS MEMBER SERVICES CENTER | | |
|--|-------------------------|--------------|
| | Reporting Period | Total |
| Calls Received | 9,187 | 184,582 |
| Average Length of Call (min:sec) | 7:28 | 6:32 |
| Average Wait Time (min:sec) | 4:00 | 0:35 |
| Emails Received | 278 | 2,990 |
| Walk-Ins | 5 | 734 |

V. PERIODIC MEDICAL CONSULTATION PROGRAM

A. Class Members Eligibility for and Participation in the PMCP

During the Reporting Period, the Claims Administrator approved 725 claims for participation in the PMCP and mailed 1,179 PMCP Notices of Determination. Since the

inception of the Settlement, the total number of Class Members receiving a PMCP Notice of Determination is 24,796. The Claims Administrator received requests for and scheduled 298 physician visits during the Reporting Period, and Class Members attended 312 appointments in the Reporting Period.

| TABLE 9: PERIODIC MEDICAL CONSULTATION PROGRAM | | |
|--|-------------------------|--------------|
| | Reporting Period | Total |
| Class Members Approved to Receive Physician Visits ¹⁴ | 725 | 27,366 |
| PMCP Notices of Determination Sent | 1,179 | 24,796 |
| Physician Visits Requested and Scheduled | 298 | 2,672 |
| Appointments Attended by Class Members | 312 | 2,576 |
| Annual Update Letters Sent to Class Members | 6,072 | 16,897 |

B. Provider Network

During the Reporting Period, the Claims Administrator added thirty-nine (39) medical provider organizations, with two hundred thirty-two (232) delivery sites, to its network of providers established to provide certain covered services to Class Members who participate in the PMCP, bringing the total number of medical provider organizations to 192. These medical provider organizations represent 465 service delivery sites. One hundred ninety-four (194) of the additional service delivery sites are affiliated with a nationwide provider organization enrolled into the PMCP provider network during the Reporting Period. As a result of these additions, ninety-seven percent (97%) of eligible Class Members who have requested a PMCP evaluation resided within twenty-five (25) miles of a network provider at the conclusion of the Reporting Period. The Claims Administrator continues to expand the medical provider network in its efforts to ensure that no Class Member will have to wait more than thirty (30) days or travel more than twenty-five (25) miles for an appointment.

¹⁴ The total physician visits will exceed the total number of Class Members qualified for the PMCP benefit, as Class Members may be referred to specialists and will eventually be eligible for subsequent primary visits.

VI. BACK-END LITIGATION OPTION

During the Reporting Period, sixteen (16) Class Members filed Notices of Intent to Sue for compensation for a Later-Manifested Physical Condition, bringing the total number to 415 Class Members to date. Of the sixteen (16) Notices of Intent to Sue filed in the Reporting Period, six (6) were denied and ten (10) contained deficiencies that could be corrected by the Class Member.

| TABLE 10: CLAIMS FOR LATER-MANIFESTED PHYSICAL CONDITIONS | | |
|--|-------------------------|--------------|
| | Reporting Period | Total |
| Notices of Intent to Sue Filed | 16 | 415 |
| Notices of Intent to Sue Approved | 0 | 40 |
| Notices of Intent to Sue Denied | 6 | 174 |
| Notices of Intent to Sue Deficient ¹⁵ | 10 | 201 |

Of the 174 claims denied to date, ninety-nine percent (99%) were denied because the conditions claimed were diagnosed on or before April 16, 2012 and therefore could not be claimed as Later-Manifested Physical Conditions. The other reasons for denial include, among other things, that the claim was precluded by a previously filed workers' compensation claim.

Of the 201 defective claims to date, the three (3) most common material Defects identified are as follows:

- “Identification of BP defendants in Section VII is missing”;
- “You must provide medical records indicating a date of diagnosis that is after April 16, 2012 or a completed Physician’s Certification Form”; and
- “The date on which the claimed Later-Manifested Physical Condition(s) were first diagnosed in Section VI.A.2 is missing.”

¹⁵ Class Members who cure Defects within their original Notice of Intent to Sue will then be classified as “Approved” or “Denied” in future reporting, based on the responses received.

| TABLE 11: APPROVED NOTICES OF INTENT TO SUE | | |
|---|-------------------------|--------------|
| Mediation Elections | Reporting Period | Total |
| Later-Manifested Physical Condition Claims for Which at Least One BP Defendant Elected Mediation | 0 | 0 |
| Later-Manifested Physical Condition Claims Pending a Decision from One or More BP Defendants Regarding Mediation | 0 | 0 |
| Later-Manifested Physical Condition Claims for Which No BP Defendants Elected Mediation | 2 | 40 |
| | | |
| TOTAL: | 2 | 40 |
| | | |
| Results of Mediation | Reporting Period | Total |
| Later-Manifested Physical Condition Claims Settled by Mediation | 0 | 0 |
| Later-Manifested Physical Condition Claims Settled by Mediation as to One but Not All BP Defendants Listed in the Notice of Intent to Sue | 0 | 0 |
| Later-Manifested Physical Condition Claims Mediated but Not Settled | 0 | 0 |
| | | |
| TOTAL CLAIMS MEDIATED: | 0 | 0 |
| | | |
| Back-End Litigation Option Lawsuit | Reporting Period | Total |
| Later-Manifested Physical Condition Claims for Which No BP Defendant Elected Mediation | 2 | 40 |
| Later-Manifested Physical Condition Claims Mediated but Not Settled | 0 | 0 |
| | | |
| TOTAL CLASS MEMBERS ELIGIBLE TO FILE A BACK-END LITIGATION OPTION LAWSUIT¹⁶ | 2 | 5 |

Out of the forty (40) approved Notices of Intent to Sue to date, the BP Defendants did not elect to mediate any of the claims. During the Reporting Period, two (2) Class Members became

¹⁶ The total eligible for BELO over the life of the project was forty (40). However, of the forty (40), only five (5) are currently eligible for BELO. The other thirty-five (35) claims are no longer within the six-month (6-month) timeframe for properly and timely filing a Back-End Litigation Option Lawsuit.

eligible to file a Back-End Litigation Option Lawsuit, bringing the total number of Class Members eligible to file a Back-End Litigation Option Lawsuit to five (5).

VII. GULF REGION HEALTH OUTREACH PROGRAM

A. Funding and Coordinating Committee Activities

In accordance with Section IX of the MSA, the Gulf Region Health Outreach Program (“GRHOP”) was established in May 2012 to expand capacity for and access to high quality, sustainable, community-based healthcare services, including primary care, behavioral and mental health care and environmental medicine, in the Gulf Coast communities in Louisiana, Mississippi, Alabama, and the Florida Panhandle. The program consists of five (5) integrated projects: the Primary Care Capacity Project (“PCCP”), Community Involvement (“CI”), the Mental and Behavioral Health Capacity Project (“MBHCP”), the Environmental Health Capacity and Literacy Project (“EHCLP”), and the Community Health Workers Training Project (“CHWTP”). As of the end of the Reporting Period, the Claims Administrator disbursed \$104,713,294 to the projects, as detailed in the chart below.

| TABLE 13: GRHOP | |
|--|------------------------|
| Project | Funding to Date |
| Primary Care Capacity Project | \$46,655,925 |
| Community Involvement | \$3,213,491 |
| Mental and Behavioral Health Capacity Project ((Louisiana State University Health Sciences Center) | \$14,359,145 |
| Mental and Behavioral Health Capacity Project (University of Southern Mississippi) | \$8,256,486 |
| Mental and Behavioral Health Capacity Project (University of South Alabama) | \$8,256,489 |
| Mental and Behavioral Health Capacity Project (University of West Florida) | \$5,025,696 |
| Environmental Health Capacity and Literacy Project | \$14,957,416 |
| Community Health Workers Training Project | \$3,988,646 |
| | |
| TOTAL: | \$104,713,294 |

The final disbursement was made in May 2016, which accounted for an eighteen (18) month low-cost extension of the GRHOP, as agreed upon by the Parties and Coordinating Committee members. All projects, except for Community Involvement,¹⁷ will participate in this extension period. Administrative costs were accounted for by the Claims Administrator, with all projects contributing to these costs. Therefore, the May 2016 disbursement brought the total funding to the GRHOP to \$104,713,294.

The GRHOP is governed by a Coordinating Committee that continues to function in a cooperative and integrated manner, with quarterly in-person meetings around the Gulf Coast, as well as monthly conference calls. These quarterly meetings offer the grantees the opportunity to share their progress, discuss challenges faced, and collaborate with their partners to work through issues that affect the GRHOP as a whole.

The Claims Administrator held a quarterly meeting on September 16, 2016, in Mobile, Alabama. This meeting encompassed discussion on a variety of topics, including, but not limited

¹⁷ Community Involvement has chosen not to participate in the eighteen (18) month low-cost extension.

to, the activities of two (2) of the five (5) GRHOP subcommittees — the Evaluation Subcommittee and Publication Subcommittee.¹⁸ These subcommittees work to increase collaboration and effectiveness of the projects, as well as assure positive impacts and sustainability within the communities which the GRHOP affects.¹⁹ During the meeting, a representative from the Sea Grant programs of the Gulf of Mexico discussed the Gulf of Mexico Research Initiative (“GoMRI”) and its outreach efforts to promote oil spill science. Representatives from the Sea Grant collected input from GRHOP Coordinating Committee Members regarding their activities and positive impact in the Gulf region.

Lastly, the Coordinating Committee also requested the Claims Administrator to establish a GRHOP website. This website contains detailed descriptions and notable accomplishments of each project, as well as information regarding the GRHOP Coordinating Committee, news/events, and publications. The website launched on July 3, 2014 and can be publicly accessed at www.grhop.org.

B. GRHOP Project Updates

Each GRHOP project has made substantial progress in achieving the goals set forth in their respective Grant Proposals. Some notable accomplishments of the projects include:

- The **Primary Care Capacity Project**, led by the Louisiana Public Health Institute (“LPHI”), which has:
 - Worked towards its goal to expand access to integrated high quality, sustainable, community-based primary care with linkages to specialty mental and behavioral health, and environmental and occupational health services in the implicated seventeen (17) Gulf Coast counties and parishes. The key program strategies include:

¹⁸ The five (5) GRHOP subcommittees include: the Data Sharing Subcommittee, Evaluation Subcommittee, Health Promotions Subcommittee, Newsletter Subcommittee, and Publication Subcommittee. These subcommittees were formed during the July 31, 2014 quarterly meeting.

¹⁹ The Claims Administrator will hold its next quarterly meeting on December 2, 2016 in New Orleans, Louisiana. The Claims Administrator will report on that meeting in its fourth quarterly report of 2016.

- Continuing to build community health center capacity through direct funding and customized group and individual technical assistance through cooperative agreements to health centers across all seventeen (17) Gulf Coast counties and parishes;
 - Continuing to support and advance health system development through funding and technical assistance for health information exchanges, infrastructure investments, and quality improvement initiatives;
 - Continuing to enhance the capacity of communities and strategic partnerships to improve health through funding, partnership engagement, and technical assistance to non-clinical partners through:
 - State Partners Agreements with the four (4) State Primary Care Associations, focusing on implementing a regional Emergency Management Initiative with technical support from the RAND Corporation and Primary Care Development Corporation; and
 - Non-competitive community grants awarded to the five (5) community health centers participating in the Community-Centered Health Home (“CCHH”) Demonstration Project, to support CCHH action out in the community.
- **Alliance Institute’s** outreach on behalf of the GRHOP and its partners has reached over 1,500 individuals across Louisiana, Mississippi, Alabama, and Florida. Alliance Institute, the grantee responsible for Community Involvement, has:
 - Submitted a proposal to the GRHOP Coordinating Committee regarding the development of a series of journal submissions to be published by the GRHOP Partners and Sub-grantees to *FrontiersIn*, an online, open-access, peer-reviewed journal. A successfully coordinated effort would result in the publication of an e-book about GRHOP by the GRHOP Partners.
 - STEPS Coalition (Mississippi) and BPSOS – Bayou La Batre (Alabama) joined forces to develop a regional coalition to address language access, workforce development, and racial issues occurring around the Gulf Coast.
 - Hosted a community-based organization (“CBO”), which convened in Gulfport, Mississippi, to prepare for the last year of Community Involvement; it focused on sustainability via such concepts as how to develop a fundraising plan, how to develop a program plan with a logic model, and how to increase visibility through social media.
 - NAACP-Florida, Alliance’s sub-grantee, is working with Tuskegee and Florida A&M Universities to conduct environmental assessments of water and air pollution in the Lovejoy community of Okaloosa, Florida, due to a high rate of death from unknown causes.

- The **Environmental Health Capacity and Literacy Project**, with its grantee being Tulane University, has achieved the following:
 - The Association of Occupational and Environmental Clinics (“AOEC”) coordinated an educational session on environmental and occupational medicine for twenty-four (24) staff members at the NOELA health clinic in New Orleans, Louisiana. Dr. Katherine Kirkland, Executive Director for the AOEC, met with representatives of the Louisiana Community and Technical College System to discuss the use of the Occupational and Environmental Medicine case studies in the state’s community college nursing curriculum.
 - The Fussy Baby Network New Orleans & Gulf Coast became a clinical rotation site on the pediatric behavioral and developmental rotation at Tulane University Medical School. Every pediatric resident will spend one (1) afternoon per month learning about the program and the families served.
 - The Emerging Scholars Environmental Health Sciences Academies at Tulane University, University of South Alabama, and University of West Florida completed their summer programs for high school students. Eighty-one (81) students have participated in the programs since 2013. The Environmental Health Sciences Teacher Workshops, held at the same three (3) universities, as well as the University of Southern Mississippi, have trained 162 teachers to date.
 - Subcontracts to the health clinics and CBO employing community health workers (“CHWs”) were renewed on September 1, 2016 for a final twelve (12) month period. Also, annual site visits to the clinics and CBOs were completed by EHCLP staff.

- The **Community Health Workers Training Project**, directed by the University of South Alabama’s Coastal Resource and Resiliency Center (“CRRC”), has achieved the following:
 - Janel Lowman (Training and Outreach Coordinator for CRRC) and Selena Coleman (Program Specialist) held a meeting on July 8, 2016 at the Toulminville Senior Citizen Center to recruit participants for upcoming CRRC trainings.
 - Janel Lowman and Selena Coleman attended the Suicide Risk Assessment Meeting on July 13, 2016, hosted by the Gulf Coast Behavioral Health and Resiliency Center.
 - Janel Lowman and Selena Coleman attended the Community Health Workers Unity Conference in Atlanta, Georgia, from July 17-20, 2016.
 - A Peer Health Advocate training session was held at the New Orleans Marriot from July 24-27, 2016.
 - Brandi Gilliam (Program Specialist), Steven Picou (Program Director), Keith Nicholls (Senior Associate Director), Bria Means (Graduate Student) and Alyssa

Wood (Graduate Student) submitted an abstract entitled, “Utilizing Lay Health Workers to Increase Community Resilience by Expanding Social Networks and Building Social Capital,” to the Gulf of Mexico Oil Spill & Ecosystem Science Conference, held on September 14, 2016.

- An Advanced Training in Chronic Disease Management was held at the New Orleans Marriott from September 18-23, 2016.
- The **Mental and Behavioral Health Capacity Project**, implemented by a coalition of four (4) academic institutions (Louisiana State University Health Sciences Center (“MBHCP-LA”), the University of Southern Mississippi (“MBHCP-MS”), the University of South Alabama (“MBHCP-AL”), and the University of West Florida (“MBHCP-FL”)), has achieved the following:
 - MBHCP-LA has:
 - Continued to have success in developing and implementing efficient, high quality, integrated care services in communities with prior disparities in care. There has also been improvement in mental and physical health symptoms, along with high client and clinic satisfaction;
 - Provided supportive services in schools with students and consultation with parents, school counselors, teachers, and other school staff leading towards sustainability of helpful services;
 - Continued collaboration with PCCP; made progress toward the long-term goal of integrating mental and behavioral health care into electronic medical records at affiliated clinics, even as several clinics are transitioning to a new electronic medical records system;
 - Continued growth of the young child (0-5 year) program in FQHCs, community clinics, schools, and early childhood programs in response to clinician and parental concerns, along with issues raised by national/local pediatric and child psychiatry associations and providers;
 - Delivered, disseminated, and proposed submissions for a number of national presentations regarding MBHCP-LA’s activities and outreach, including the American Psychiatric Association, Robert Wood Johnson Resilience Roundtable, American Academy of Child and Adolescent Psychiatry, ZERO TO THREE, a community workshop with national experts on transition-age youth in attendance, SAMHSA All Network Conference for National Child Traumatic Stress Network, the Gulf of Mexico Oil Spill and Ecosystem Science Conference, and the Society of Petroleum Engineers;
 - Increased emphasis on sustainability through grant writing efforts and contract development. Through evaluation efforts, the project has identified three (3) main areas to increase behavioral health service capacity in rural clinics: specialized services, consultation efforts, and coalition building; and

- Based on Hurricane Katrina and Deepwater Horizon disaster experiences, MBHCP-LA was readily available to assist the State of Louisiana and local foundations in response to the recent flooding throughout Southeastern Louisiana. MBHCP-LA has provided consultation on federal disaster grant requirements, services to meet the behavioral health needs of survivors, screenings to identify behavioral health needs and the importance of supporting local providers on the ground assisting with recovery efforts.
 - MBHCP-MS has achieved the following:
 - All Mississippi Integrated Health and Disaster Program (“M-IHDP”) clinic staff²⁰ were trained and are utilizing the Mississippi Health Information Network (“MS-HIN”) direct messaging system to track chronic health patients' emergency room and hospital discharges. This effort creates an opportunity for immediate outreach to individuals with the goal of improving post-discharge outcomes and decreasing readmissions.
 - M-IHDP, in collaboration with the MS-HIN, is evaluating the effectiveness of the direct messaging system and its impact on continuity of care for patients, served by their Chronic Condition Support Program. This effort will result in workflow improvement and quicker response to patient need.
 - MBHCP-MS has fully tested and vetted an integrated care plan with providers across the FQHC, which allows for a shared patient document between medical and behavioral health staff. This care plan was made fully functional in the electronic health record by September 2016.
 - The M-IHDP leadership team worked closely with the FQHC Quality Director to develop a standardized workflow that allows for the initiation of non-face-to-face chronic care management activities. This initiative allows the behavioral health staff to take advantage of new Medicare reimbursements that support the use of telephonic interventions for individuals with uncontrolled chronic illnesses.
 - The M-IHDP program director began conversations with local organizations and insurers to develop alternative methods for patients to access affordable fresh fruits and vegetables along the three (3) coastal counties. This initiative targets pre-existing savings programs and delivery services. The goal is to link ongoing efforts to underserved communities.
 - MBHCP-AL has:
 - Provided services to over 1,309 new adult clients and almost 904 new child clients in the FQHCs, the University of South Alabama Family Medicine Clinic, and the Child Psychiatry Residency Program. Brief behavioral health

²⁰ M-IHDP refers to members of MBHCP-MS within the university setting.

service delivery at their integrated healthcare locations continues to be the main priority of MBHCP-AL.

- Continued the drive towards a sustainable Behavioral Health Department at the Mobile County Health Department, including additional EHR standardizations and training, adding new providers and sites, and developing a strategic plan for behavioral health.
- Hosted a one-day Grief Therapy as Brief Therapy workshop for fifty-four (54) mental health professionals from both lower Alabama counties.
- Cory Wornell, MS, MPH, Director of Training and Evaluation, attended an expedited YMHFA train-the-trainer program in Portland, OR during the first week of April, 2016. As a certified trainer, Mrs. Wornell will facilitate the coordination of all future YMHFA trainings.
- A Behavioral Health Provider (“BHP”) candidate has been selected to provide coordinated school care within the Mobile County Public School System.
- ADHD Protocol for our portable ADHD Assessment Team was finalized this quarter. These services started in the fall of 2016.
- Staff members continue to receive and accept permanent job offers in the integrated health world as the MBHCP-AL effort moves forward. This quarter, Malaika Russ, LCSW, was hired to direct coordinated care services within one of the emerging Regional Care Organizations.
- Created a final budget for the remainder of the project. The proposed revised budget was submitted to the Claims Administrator.
- Received permission to fund two (2) post-doctoral fellows for 2016 to 2017. Both fellows will be enacting our staffing plan for the FQHCs while implementing sustainability and dissemination activities. Interviews were conducted and two post-doctoral fellows were hired. Each has an interest in system change as well as client-level intervention skills. They will begin working with us next quarter. These positions are being sought in lieu of hiring more full-time BHP’s who have a time-consuming training trajectory and a short time of guaranteed employment with the project.
- Numerous dissemination efforts are occurring: eight (8) presentations were accepted to national and international conferences: Association of Behavioral and Cognitive Therapies, American Public Health Association, and the International Society of Traumatic Stress Studies. Three (3) papers were completed and sent out to journals for potential publication. Two (2) of these papers were in collaboration with our community partners.

- MBHCP-FL has:
 - Completed Studer Training with all FQHCs in their grant area;
 - Continued to monitor Memorandums of Understanding (“MOUs”) put in place to sustain services in schools and colleges; and
 - Sponsored staff from two (2) FQHC’s in grant area to attend a Studer Group Conference.

C. GULF REGION HEALTH OUTREACH PROGRAM LIBRARY

In accordance with Section IX.H of the MSA, the Claims Administrator has established a publicly accessible online library, which exists as a repository of information related to the health effects of the *Deepwater Horizon* incident, including, but not limited to: (a) the composition, quantity, fate, and transport of oil, other hydrocarbons, and other substances released from the MC252 Well and the *Deepwater Horizon* and the dispersants and contaminants used in Response Activities; (b) health risks and health studies relating to exposure to oil, other hydrocarbons, and other substances released from the MC252 Well and the *Deepwater Horizon* and the dispersants and decontaminants used in Response Activities; (c) the nature, content, and scope of in situ burning performed during the Response Activities; and (d) occupational safety, worker production, and preventative measures for Clean-up Workers.

The library houses 190,357 relevant documents, each tagged with a specific search category based on the type of information identified within the MSA. The Claims Administrator will continue to add Library Materials in accordance with the MSA.

Respectfully submitted,

DEEPWATER HORIZON MEDICAL BENEFITS
CLAIMS ADMINISTRATOR

By: /s/ Matthew L. Garretson
Matthew L. Garretson

CERTIFICATE OF SERVICE

I hereby certify that the above and foregoing document has been served on All Counsel by electronically uploading the same to Lexis Nexis File & Serve in accordance with Pretrial Order No. 12, and that the foregoing was electronically filed with the Clerk of Court of the United States District Court for the Eastern District of Louisiana by using the CM/ECF System, which will send a notice of the electronic filing in accordance with the procedures established in MDL 2179, on this 29th day of November, 2016.

Respectfully submitted,

/s/ Matthew L. Garretson

Matthew L. Garretson